

06-21-01

A

REV. 04/01 For A Small Entity

Docket No. JP-1

Applicant

Joseph F. Pinkerton

For

ENERGY CONVERSION SYSTEMS USING NANOMETER

SCALE ASSEMBLIES AND METHODS FOR USING

SAME

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EI125462015US

Date of Deposit June 20, 2001

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

Hon. Commissioner for Patents Washington, D.C. 20231

New York, New York June 20, 2001

TRANSMITTAL LETTER FOR UNEXECUTED ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the [X] specification; [X] claims; [X] abstract; [X] unexecuted declaration, for the above-identified patent application.

Also transmitted herewith are:

- [X] <u>16</u> sheets of:
 - [] Formal drawings.
 - [X] Informal drawings. Formal drawings will be filed during the pendency of this application.



[]	Certified	copy(ies)	of	application(s)	ļ
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(country)	(appln. no.)	(filed)	
(country)	(appln. no.)	(filed)	
(country)	(appln. no.)	(filed)	

from which priority is claimed.

- [] An assignment of the invention to _____
 - [] A check in the amount of \$40.00 to cover the recording fee.
 - [] Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.
- [] An associate power of attorney.
- [X] Applicants hereby request small entity status under 37 C.F.R. § 1.27. The filing fee has been calculated as shown below:

FOR	NUMBER FILED		NUMBER EXTRA	RATE	FEE
BASIC FEE					\$355.00
TOTAL CLAIMS	82	- 20	= 62	X \$ 9 =	\$558.00
INDEPENDENT CLAIMS	5	- 3	= 2	X \$40 =	\$ 80.00
[] MULTIPLE	DEPENDENT	CLAIMS		+ \$135 =	\$

\$993.00

TOTAL

l J	fee is transmitted herewith.
[]	This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
[X]	The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
[]	Amend the specification by inserting before the first line the sentence: This is a [] continuation-in-part, of application No.:, filed entitled
	entitied

[X] Please charge \$993.00 to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant

Joseph F. Pinkerton

Filed

: Herewith

:

For

ENERGY CONVERSION SYSTEMS USING

NANOMETER SCALE ASSEMBLIES AND METHODS

FOR USING SAME

New York, New York 10020

June 20, 2001

Hon. Commissioner for Patents Washington, D.C. 20231

NONPUBLICATION REQUEST UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicant hereby requests that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

Robert W. Morris

Reg. No. 36,613

Attorney for Applicant

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